Satyug Darshan Institute of Engineering & Technology, Faridabad

Department of Management Studies

Academic year 2025-26

Programme: BBA IIFSB	**Year & Semester: …1stYear&2nd Sem…**
Course Name: Regulatory Framework of BFSI	**Course Code: BBF-102-V**
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Unit I

Short answer questions

Q.N.	Question	KL
1	Define Bank and its functions.	1
2	Explain the role of the financial system in the economic development of a country.	2
3	Identify how banking system facilitates the flow of funds from savers to investors	2
4	Discuss PMLA and BCSBI	2
5	Explain the impact of financial liberalisation on the Indian Banking since 1991.	2

Long answer questions

Q.N.	Question	KL
1	Define the structure of the Indian Banking System, detailing the various components of it.	1
2	Explain the New Bank licencing policy 2013.	2
3	Discuss the role of RBI as liquidator in the winding up of a bank.	2
4	Explain corporate governance and compliance requirements in banks	2
5	Describe shareholder's rights in banking companies.	2

Unit II

Short answer questions

Q.N.	Question	KL
1	Define Endorsement.	1
2	Explain different types of cheques	2
3	Explain double crossing of cheque.	2
4	Explain Letter of Credit.	2
5	Discuss the precautions in issuing bank guarantees.	2

Long answer questions

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Q.N.	Question	KL
1	Discuss Legal aspects of cheques under negotiable instrument act 1881	2
2	Explain banker’s duties regarding crossed cheques.	2
3	Explain letter of credit and their importance in International trade.	2
4	Describe invocation and enforcement of bank guarantees.	2
5	Describe legal provisions relating to bank guarantees.	2

Unit III

Short answer questions

Q.N.	Question	KL
1	Define financial assets.	1
2	Explain escrow arrangement.	2
3	Explain liability of paying banker.	2
4	Describe duties of collecting banker.	2
5	Discuss lien, pledge and hypothecation.	2

Long answer questions

Q.N.	Question	KL
1	Discuss various types of securities in banking.	2
2	Explain laws relating to mortgages and modes of charging security.	2
3	Discuss lien, pledge and hypothecation in detail.	2
4	Explain responsibilities and liabilities of paying banker.	2
5	Discuss duties and statutory protection of collecting banker.	2

Unit IV

Short answer questions

Q.N.	Question	KL
1	Define Credit creation	1
2	Explain passbook and statement of account.	2
3	Discuss termination of banker- customer relationship.	2
4	Explain KYC guidelines of RBI.	2
5	Explain types of customers.	2

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Long answer questions

Q.N.	Question	KL
1	Discuss various types of relationships between banker and customer.	2
2	Explain rights and duties of banker and customer.	2
3	Discuss legal provisions relating to closure of bank account.	2
4	Explain KYC norms and customer identification procedures.	2
5	Describe power of attorney and importance of specimen signature in banking operations.	2